

PARTICIPATORY (INITIATIVE) BUDGETING IN RUSSIA

Models, Mechanisms, Results

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World Bank

Tashkent, March 20, 2019



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WORLD
BANK

Outline

- General Facts About Participatory Budgeting (PB)
- PB Models in Russia
- PB Effects

PARTICIPATORY AND INITIATIVE BUDGETING

Participatory Budgeting (PB) - practices of direct citizen engagement in budget allocation

Types of PB

- General PB
- Thematic PB (territorial improvement, culture, tourism, etc.)
- PB for special groups (school students, the elderly, migrants, etc.)

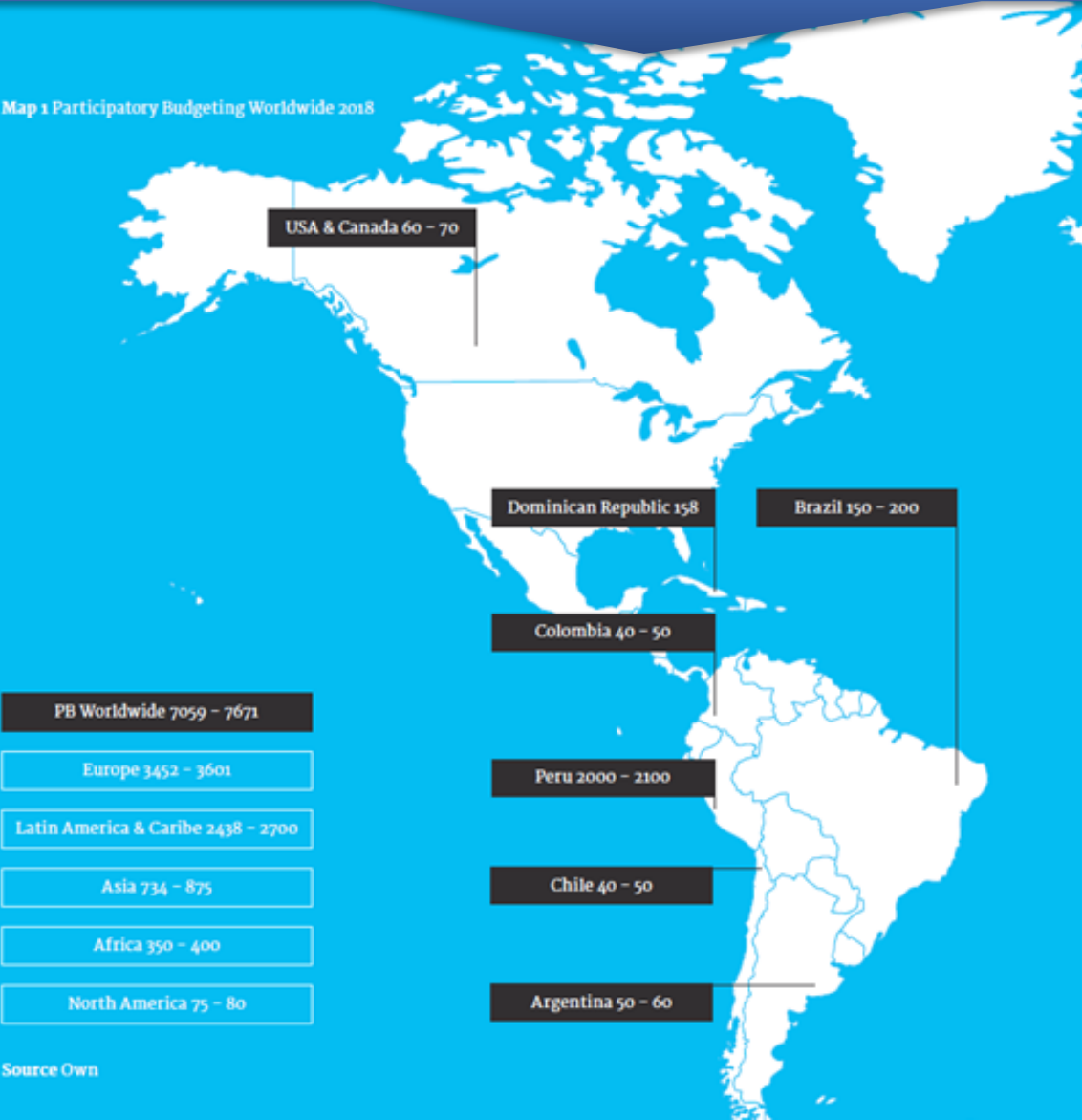
Initiative Budgeting (IB) is the Russian version of PB. It includes various models, most of which share the following common features

- Competitive selection of projects (based on voting and criteria)
- Community and business co-financing
- Regional level financing and management
- Community engagement is not limited to project selection but also includes follow-up implementation and control



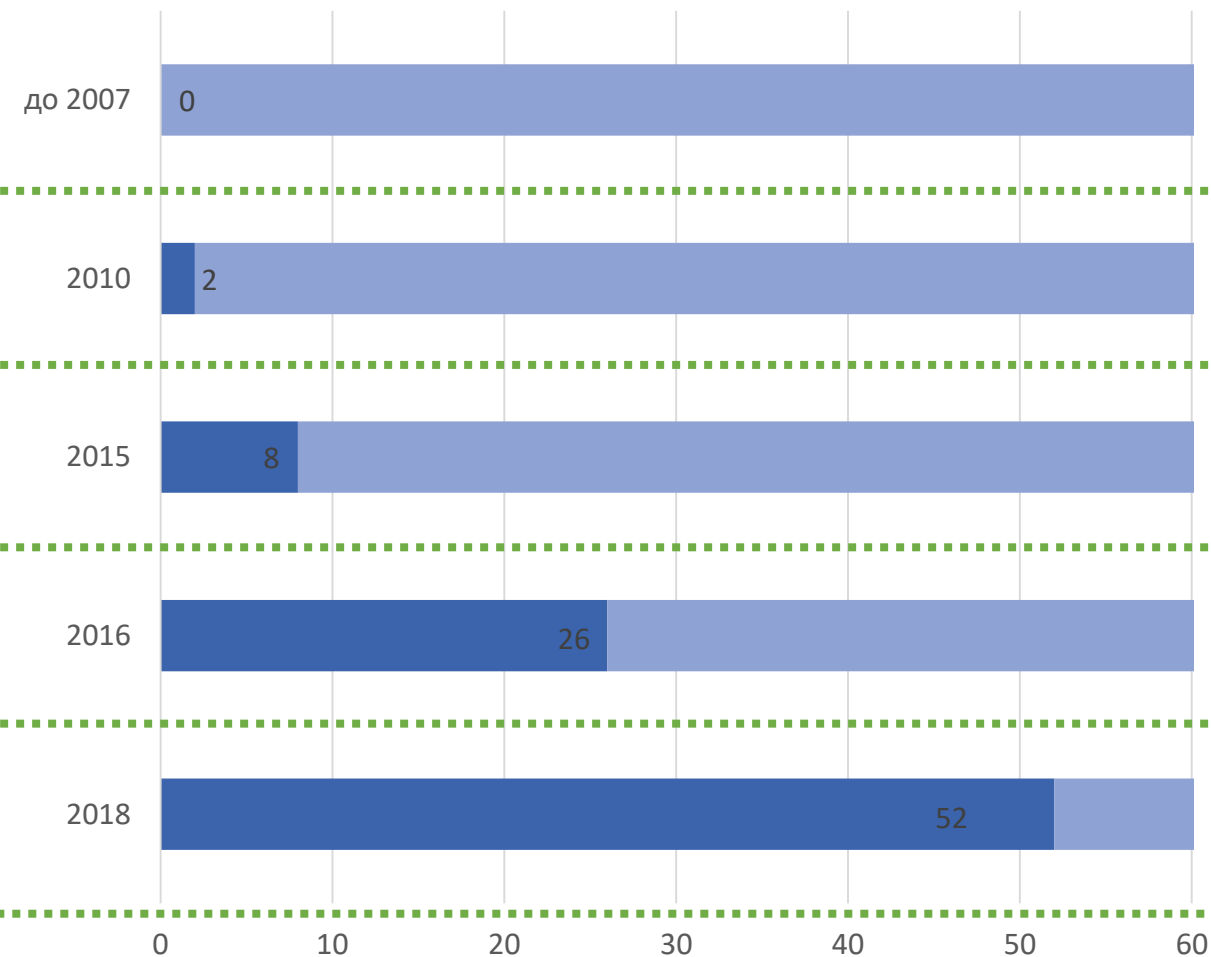
PB GLOBAL COVERAGE

Map 1 Participatory Budgeting Worldwide 2018



Source Own

SCALING UP OF PARTICIPATORY BUDGETING IN RUSSIA



2007: first Russia PB - WB LISP started in Stavropol region

WB LISP in Stavropol and Kirov regions

WB LISP in 8 regions

National level MoF - WB project has started

Various methodologies;
WB LISP prevailing (30 regions)

PROJECT OF THE RUSSIA MINISTRY OF FINANCE AND THE WORLD BANK ON DEVELOPING PB IN RUSSIA

CAPACITY BUILDING AND KNOWLEDGE EXCHANGE

- More than **40 regional and inter-regional (thematic)** workshops
- Annual workshops for PB consultants
- **Webinars** and ongoing on-line advice
- **International events**

METHODOLOGICAL SUPPORT

- LISP Operational Manual
- Package of standardized methodological documents
- Evaluation of PB implementation in Russia
- Overview of Russia's PB experience
- *Hope for Democracy: 30 years of PB Worldwide* with a chapter on Russia

DEVELOPMENT OF INSTITUTIONAL INFRASTRUCTURE

- **National PB Center** based in NIFI (MOF Research Institute)
- More than **30 regional project centers (PCs)**
- **Monitoring of PB practices**

VARIETY OF PB OBJECTIVES

- **National level** (Ministry of Finance) – efficiency of budget expenditures
- **Regional level** – improving trust between the public and the government
- **Municipal level** – sometimes just interested in additional budget funds
- **Community level** – addressing basic social issues

PB MODELS (PRACTICES)

CORE PB MODELS



LISP

Local Initiatives Support Program by the World Bank

since 2007



PORT

Citizen-led Territorial Development by the World Bank

since 2017



SCHOOL PB

PB program for high school students (9-11 grades)

since 2017



PB EU SP

PB model by the European University in St.Petersburg

since 2012

1

LISP

LOCAL INITIATIVES SUPPORT PROGRAM

LOCAL INITIATIVES SUPPORT PROGRAM (LISP)



Программа Поддержки
Местных Инициатив

- **Small and medium size municipalities, mainly rural**
- **Small projects (20-30K USD)** aimed at improvement of local level social infrastructure and territorial improvement



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ОСОБЕННОСТИ ДИЗАЙНА ППМИ

- **Two-stage approach to project selection**
 1. Nomination of project proposals in municipalities – based on citizens' voting
 2. Competition between municipal proposals – based on a set of formal criteria (share of population supported the project, contribution to projects by population and business, etc.)
 - 75-80% of municipal proposals voted by people are finally approved
- **Co-financing by population and business (cash and in-kind)**



PROJECT SELECTION CRITERIA



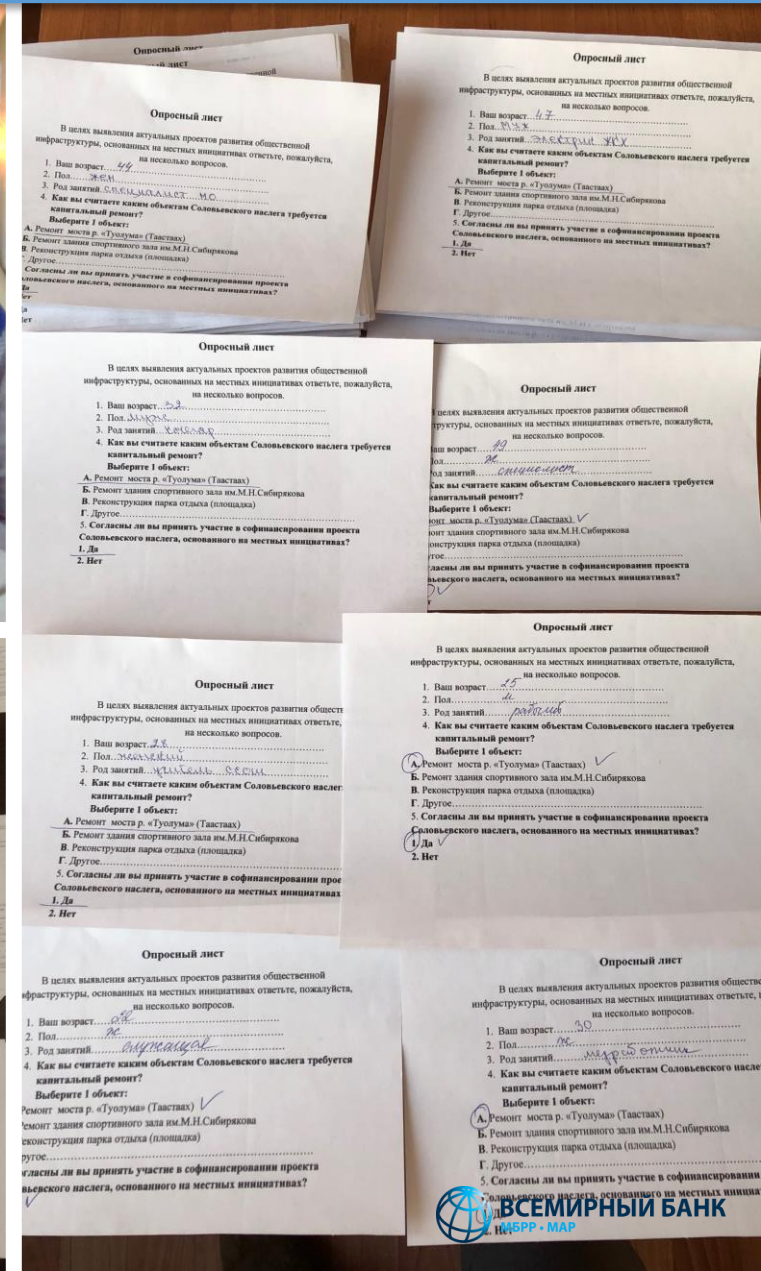
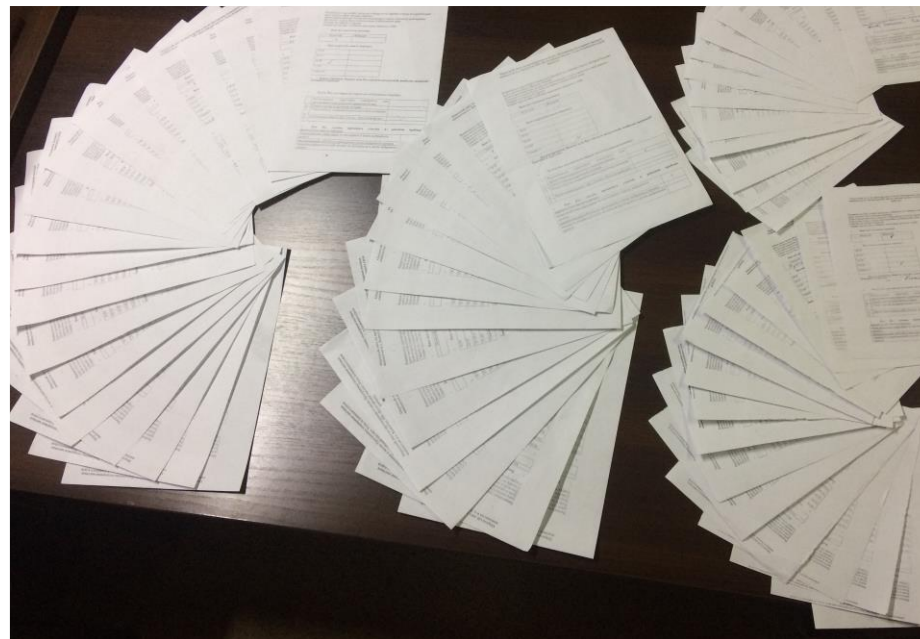
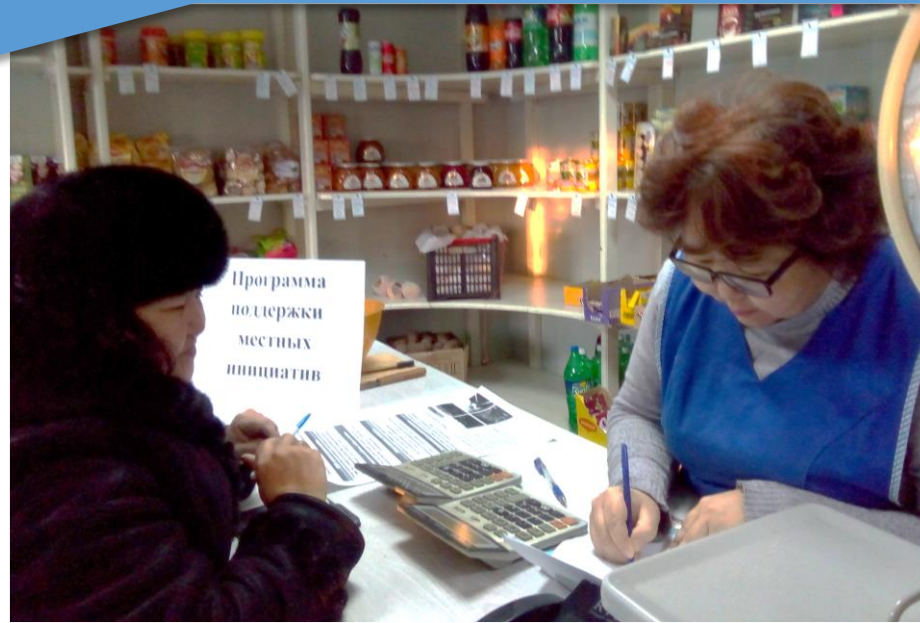
- **People participation in public discussions (40%)**
 - in preliminary events to identify project ideas
 - in final community meetings to vote for projects
- **Cash and in-kind contribution of local stakeholders (40%)**
 - population
 - local business
 - settlement budget
- **Positive effects on the development of settlement (15%)**
 - % of beneficiaries among the local population
 - # of newly created and/or preserved jobs
 - ...
- **Efforts to promote PB at the local level (5%)**
 - media use for informing the population



COMPETITION MOTIVATES MUNICIPALITIES TO ENGAGE COMMUNITIES

- Awareness-building campaign to inform the public about the project
- Engaging communities to participate in meetings
- Community co-financing (cash, in-kind, volunteer community work, etc.)
- Engaging business (cash, in-kind, i.e. inputs, equipment)

IDENTIFICATION OF PROJECT IDEAS



FINAL MEETINGS - VOTING ON PROJECTS



Republic of
Bashkortostan



Kirov region



Stavropol region



Republic of North Osetia-Alania



2018, Maya village:
1422 participants of community meeting

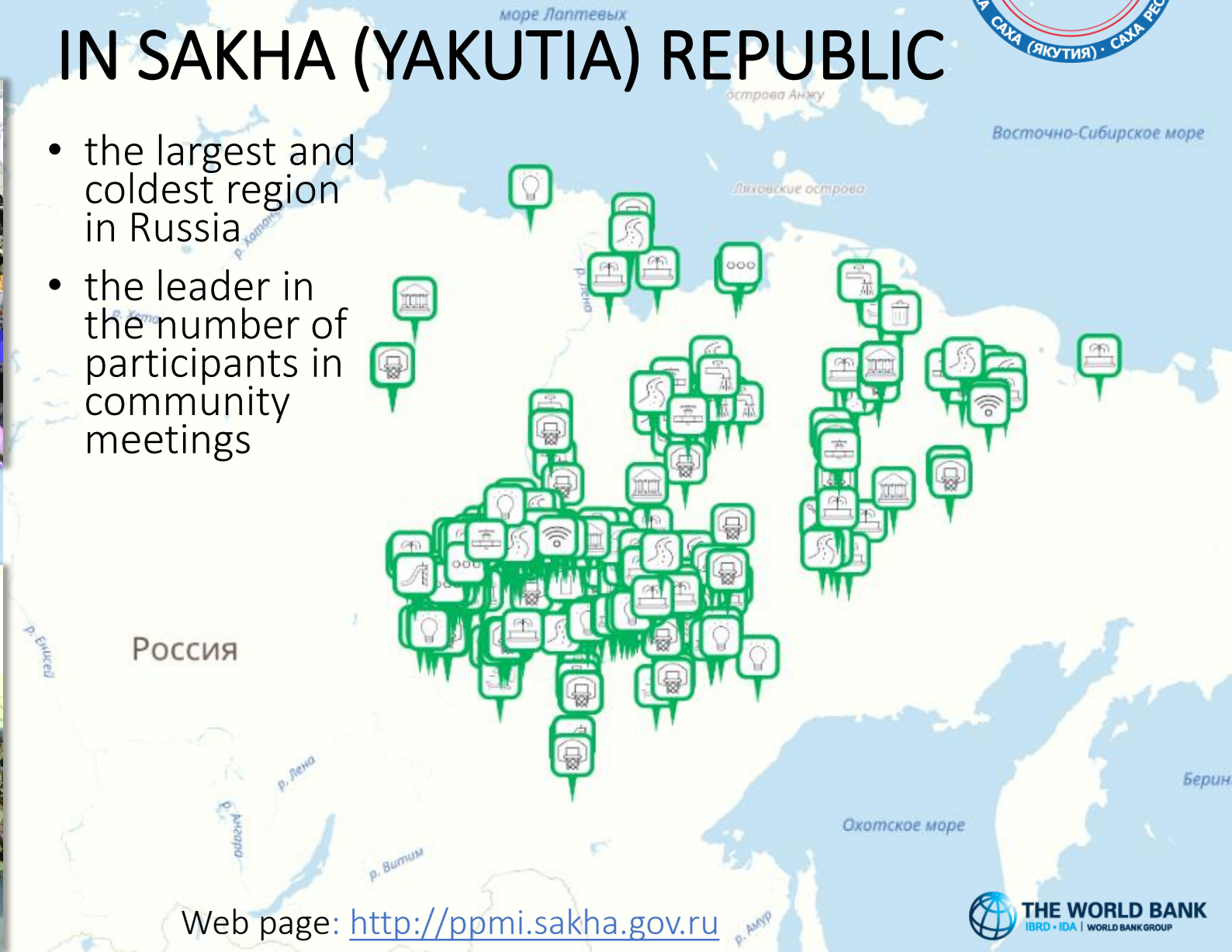


2017, Maya village:
2350 participants of community meeting



IN SAKHA (YAKUTIA) REPUBLIC

- the largest and coldest region in Russia
- the leader in the number of participants in community meetings



Web page: <http://ppmi.sakha.gov.ru>

COMMUNITY ENGAGEMENT IN LISP

Under the urban LISP model applications are submitted on behalf of civic and territorial organizations:

- Territorial self-governments
- Homeowners associations
- Civic organizations (e.g. Veterans' Council, Bikers' Organization, etc..)



- The bulk of project identification activities is shifted from municipal administration to civic organizations
- Municipalities run training events and workshops for civic organizations

KEY LISP OUTCOMES

LISP MAIN RESULTS

Around
10,000 projects
implemented

26%
ROADS



20%
WATER-SUPPLY



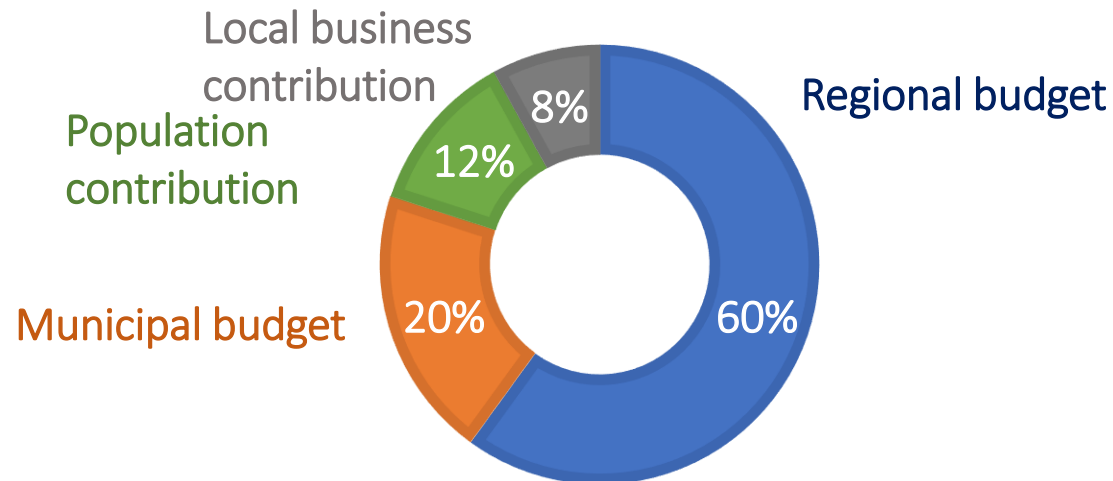
11%
COMMUNITY CENTERS
AND LIBRARIES



9%
CHILDREN PLAYGROUNDS
AND RECREATION FACILITIES



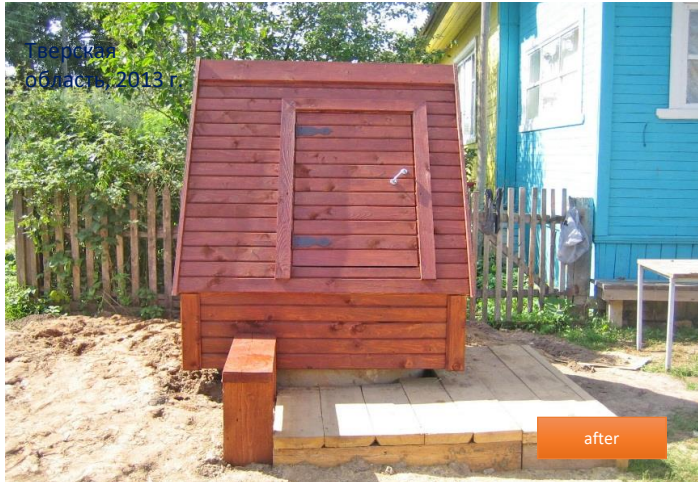
9%
TERRITORIAL IMPROVEMENT



20%
co-financing
by local communities

EXAMPLES OF IMPLEMENTED PROJECTS: WATER SUPPLY

Tver Oblast 2013



Kirov Oblast
2010



Kirov Oblast
2010



EXAMPLES OF IMPLEMENTED PROJECTS: ROADS

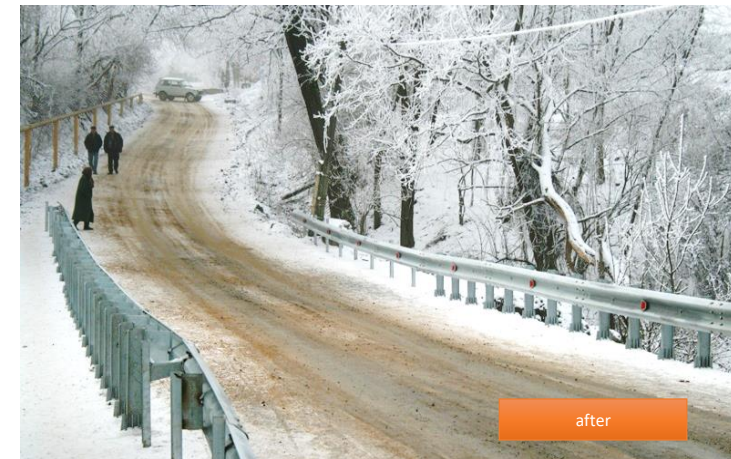
Kirov Oblast
2012



Tver Oblast, 2014



Stavropol Krai,
2010

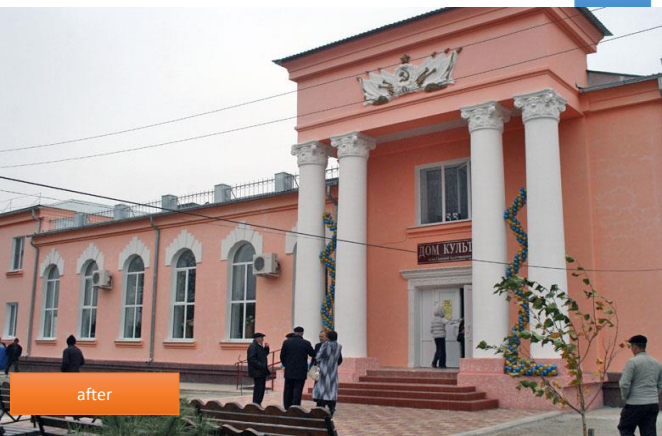


EXAMPLES OF IMPLEMENTED PROJECTS: COMMUNITY CENTERS

Tver Oblast,
2014



Stavropol Krai, 2012

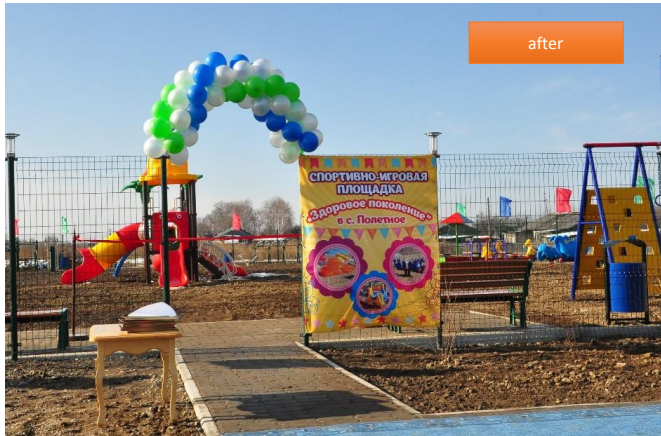


Kirov Oblast 2012



EXAMPLES OF IMPLEMENTED PROJECTS : CHILDREN'S PLAYGROUNDS

Khabarovsk Krai
2014



Stavropol Krai, 2007



Tver Oblast,
2013



Stavropol Krai,
2013 г.



Kirov Oblast, 2012



Republic of Bashkortostan,
2014

EXAMPLES OF IMPLEMENTED PROJECTS: SPORTS FACILITIES

Kirov Oblast, 2011



Stavropol Krai, 2007



Khabarovsk Krai, 2014



2

PORT CITIZEN-LED TERRITORIAL DEVELOPMENT

PUBLIC SPACE DEVELOPMENT PROJECT

- Co-designed by the World Bank and MOF of Sakhalin Oblast in 2017
- **A mix of LISP, Cascais (Portugal) and Brazilian PB models**
- Participants: urban and sub-urban areas
- **Big infrastructure projects**
- Up to USD1.5 mn per project
- USD 15 mn – total budget

Regions covered by the Federal PB project

- 2016
- 2017
- 2018



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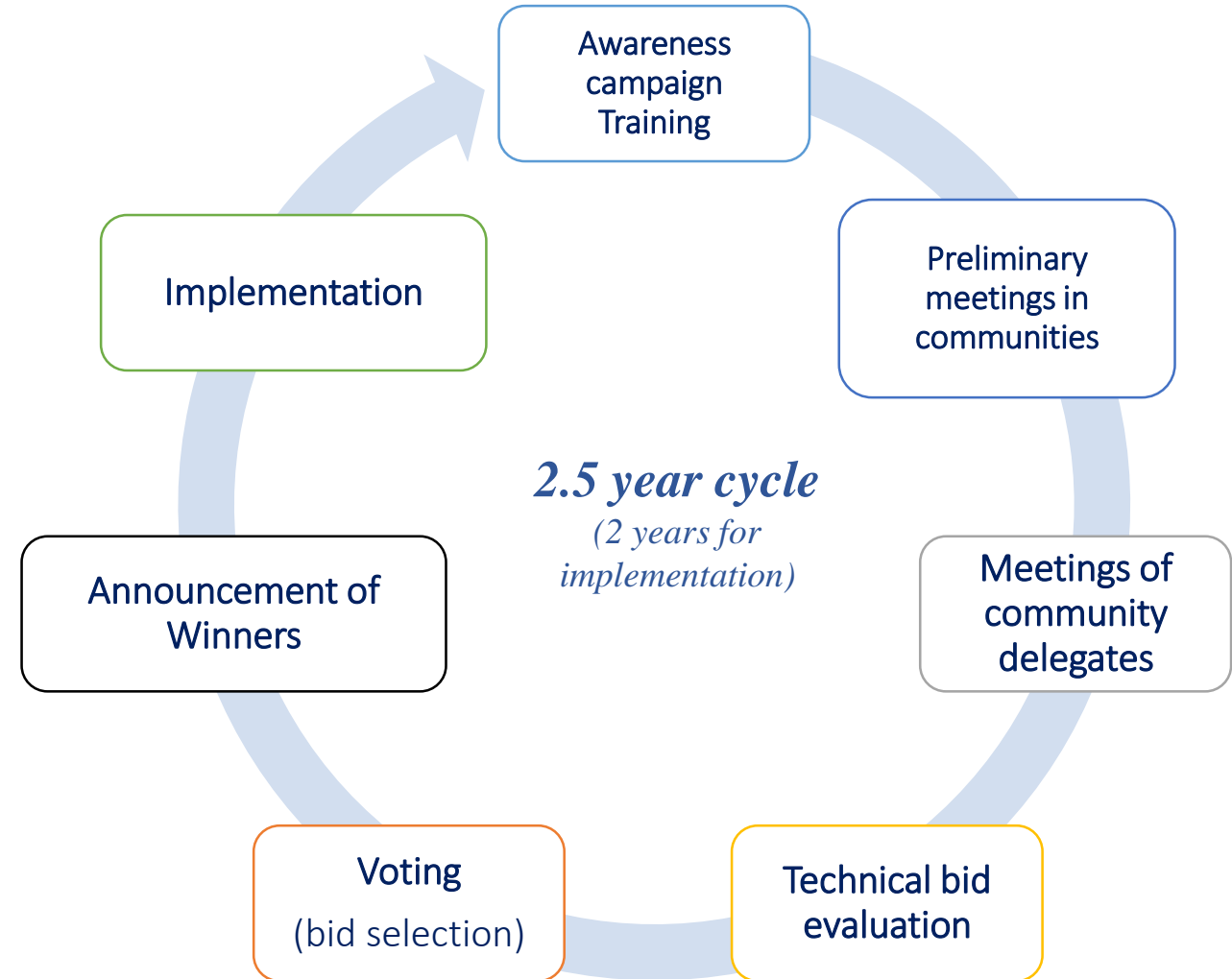
PORT DESIGN FEATURES

- **Two-level public discussions:**

1. Preliminary meetings in communities (with direct participation of citizens)
2. Final meetings of community delegates

- **All-region on-line voting**

Authentication through the official national platform for public services (GosUslugi)



TWO-STAGE PROJECT IDENTIFICATION

(1) preliminary community meetings in settlements

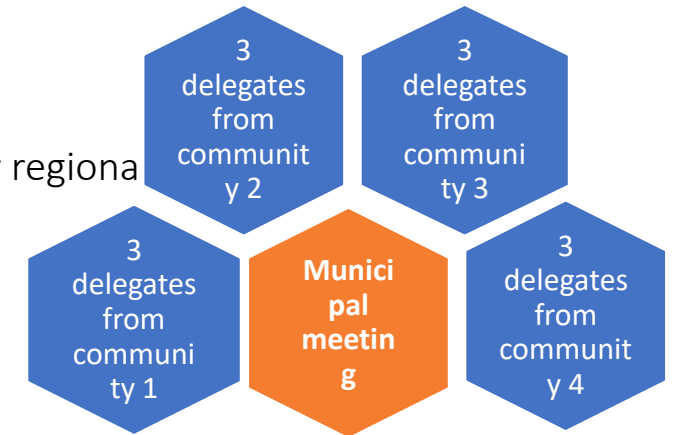
Meeting OUTCOME:

- ✓ 1 project application per 1 community to be presented at the delegates meeting at the municipal level
- ✓ 3 community delegates attend the final municipal meeting
- **Venue** – all settlements with population over 100 residents.
- **Participants** – open to all, including smaller neighboring communities
- Each participant may contribute to the discussion with their ideas.
- **Eligibility to vote** – all participants.

(2) final meetings of delegates in municipalities

Meeting OUTCOME:

- ✓ 2 project applications per municipality are put up for regional voting



- **Objective** – discussion, finalization and selection of project proposals for the regional voting.
- **Venue** – municipal center.
- **Participants** – open to all.
- **Eligibility to vote** – elected community delegates.

MEETING OF DELEGATES IN MUNICIPALITIES

(1) Discussion of community project ideas in small groups

- One small group consists of 7-9 delegates.
- Delegates from the same community are placed in different groups.
- The group includes other members (non-delegates).

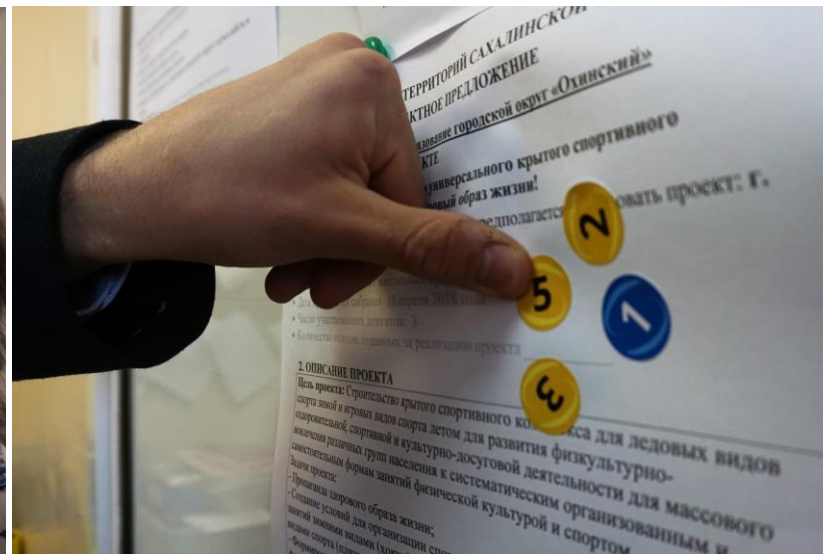
Seating arrangement

DELEGATES FROM COMMUNITY 1



MEETING OF DELEGATES IN MUNICIPALITIES

(2) Each table presents finalized project proposals and delegates' votes



- Each delegate may vote for two project proposals (including those proposed by their constituent communities).
- Meetings are moderated by trained volunteers.
- Once the delegates cast their votes, a range of finalized project proposals is made.
- Two proposals per each municipality which scored the highest number of votes are submitted for public voting at the regional level.

VOTING



Face-to-face stationary and mobile voting stations

- Stationary offices in municipal administrations and public areas
- “Participatory bus”
- Mobile voting stations in other municipalities (optional). To enable it, administrations must provide vehicles and draft a bus schedule to reach out to communities (including communities with less than 100 residents).

ИНИЦИАТИВНОЕ БЮДЖЕТИРОВАНИЕ
САХАЛИН И КРОНЦЫ

БИБЛИОТЕКА ПРАКТИКИ ПРОЕКТЫ МЕРОПРИЯТИЯ

ВХОД

ПРОЕКТ
«РАЗВИТИЕ ТЕРРИТОРИЙ»

**ОТКРЫТОЕ
ГОЛОСОВАНИЕ**

с 1 по 20 мая

Проголосовало **11142**

300 делегатов от населенных пунктов Сахалинской области выдвинули проектные предложения на открытое голосование. **Не менее 10 проектов**, набравших наибольшее число голосов, будут реализованы при финансовой поддержке из областного бюджета

On-line voting

- Through pib.sakhminfin.ru using a 4-digit code sent to the mobile phone or an SMS;
- Available to residents willing to register at the public services website or those who are already registered

COMMUNITY ENGAGEMENT IN PORT



ENGAGING VOLUNTEERS

- Public awareness campaigns (disemianting leaflets)
- Assistance in moderating discussions



ENGAGING CIVIC ORGANIZATIONS

- Information seminars



ADDITIONAL VOTES FOR ASSOCIATIONS OF THE DISABLED AT MEETINGS OF THE DELEGATES

TRAINING OF VOLUNTEERS





3

SCHOOL STUDENT PARTICIPATORY BUDGETING

SCHOOL STUDENT PARTICIPATORY BUDGETING

- Example – Sakhalin Oblast Youth Budget
- **Small projects (about USD 45,000)** to promote local social infrastructure and territorial improvements



MAIN GOALS OF SCHOOL PB



- **Identification of “fresh” ideas from the youth**
- Preparation of the youth for adult PB
- **Developing soft skills** - communication, presentation, team work, leadership

SCHOOL PB CYCLE



Training,
information
campaign



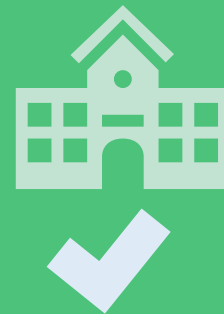
Ideas
discussion
in class



Delegates
meeting in
school



Technical
analysis of
projects
applications



School
voting



Awarding of
winners



Implementa-
tion
(1 year)



Opening
ceremony

1 calendar year

SAKHALIN OBLAST SCHOOL PB



ПРОЕКТ

«МОЛОДЁЖНЫЙ БЮДЖЕТ»

Практика инициативного бюджетирования

ОПЕРАЦИОННОЕ РУКОВОДСТВО

Сентябрь 2018 г.



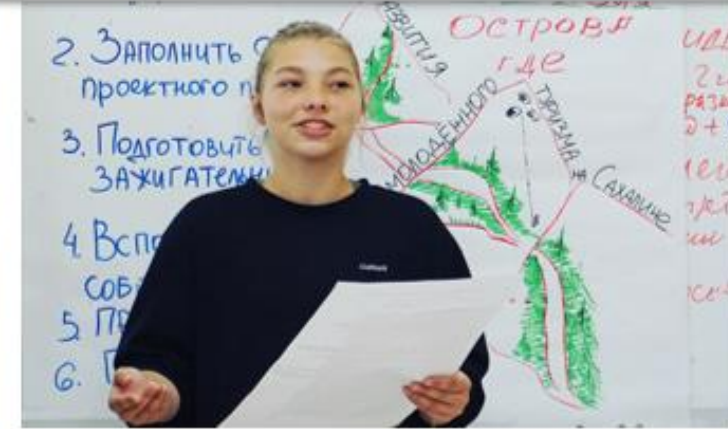
РАБОЧАЯ ТЕТРАДЬ
УЧАСТНИКА ТРЕНИНГА

«МОЛОДЁЖНЫЙ БЮДЖЕТ»

Сентябрь 2018 г.

«МОЛОДЕЖНЫЙ БЮДЖЕТ»!

SCHOOL PB TRAININGS FOR STUDENTS AND TEACHERS



4

PB MODEL BY THE EUROPEAN UNIVERSITY IN ST.PETERSBURG

PB EUSP MODEL



EUROPEAN
UNIVERSITY AT
ST. PETERSBURG

[RES·PVBLICA]

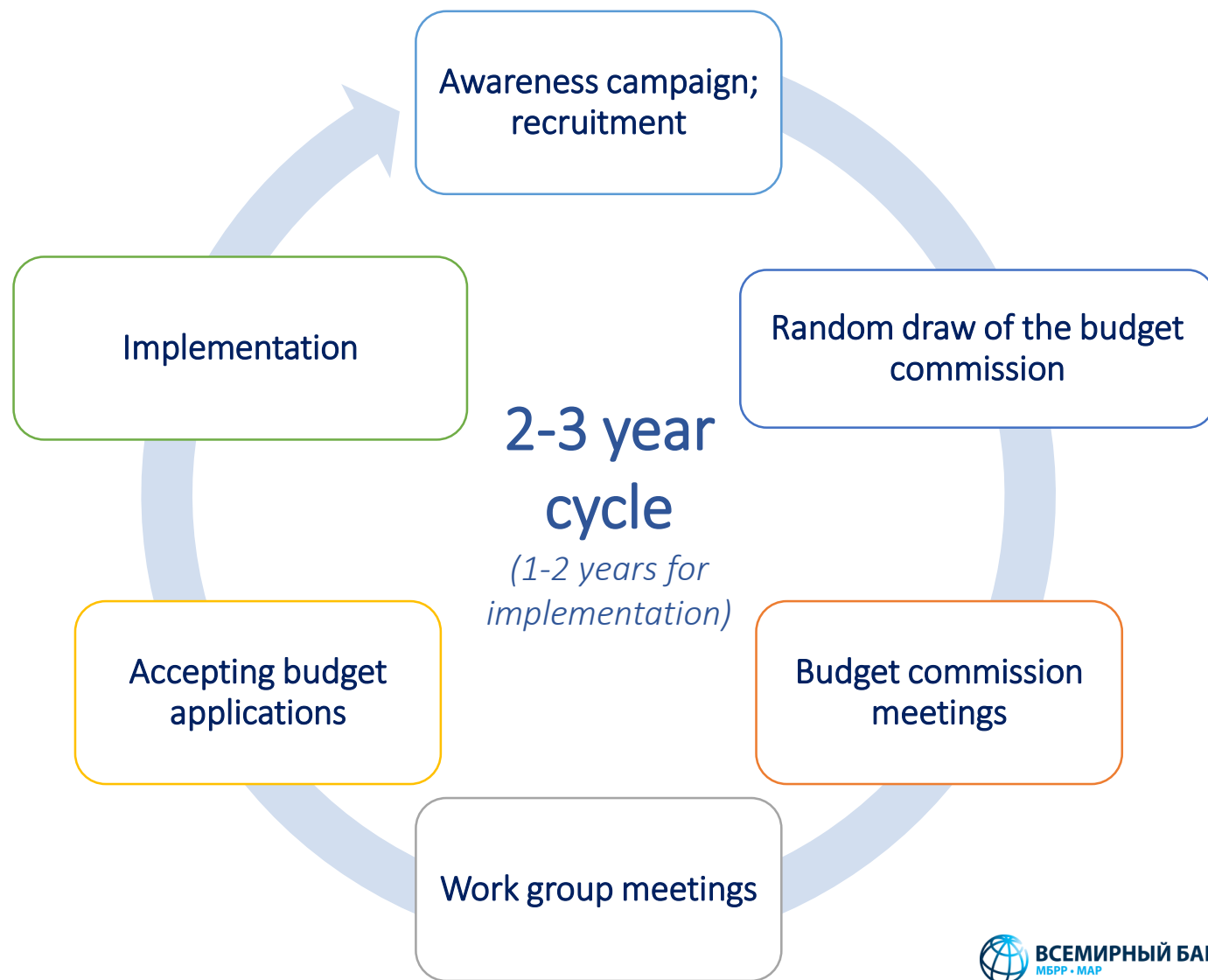
- **15 cities**
- **10-15 projects implemented per municipality annually**
- **Small to medium projects (20-150 K USD per project)**

*Regions covered by the
Federal PB project*



SPECIFIC PB EUSP MODEL DESIGN FEATURES

- Projects are proposed and finally approved by randomly assigned members of **the budget commission**
- **Intensive capacity building** for the budget commission members



PB EUSP IN ST. PETERSBURG: "YOUR BUDGET"



Lectures to budget commission members



Budget Commission meetings



Projects



PB PRACTICE MANAGEMENT

IMPLEMENTATION ARRANGEMENTS / ORGANIZATIONAL STRUCTURE

Responsible Ministry (normally MoF)	Inter-ministerial work group	Selection committee	Implementation group
<ul style="list-style-type: none">• Design• Strategic management• Coordination of work with municipalities• High level monitoring	<ul style="list-style-type: none">• Coordination of work of various agencies involved• Support in various areas: media support, technical analysis, etc.	<ul style="list-style-type: none">• Application review and final approval of winning subprojects	<ul style="list-style-type: none">• Training and consultations• Community meetings moderation• Applications check and verification• Projects implementation monitoring• Reporting

TECHNICAL SUPPORT SETUP



WB TECHNICAL SUPPORT IN REGIONAL PB



Assistance in the design



Information campaign



Capacity building for participants



Facilitation of community meetings



Ongoing consulting

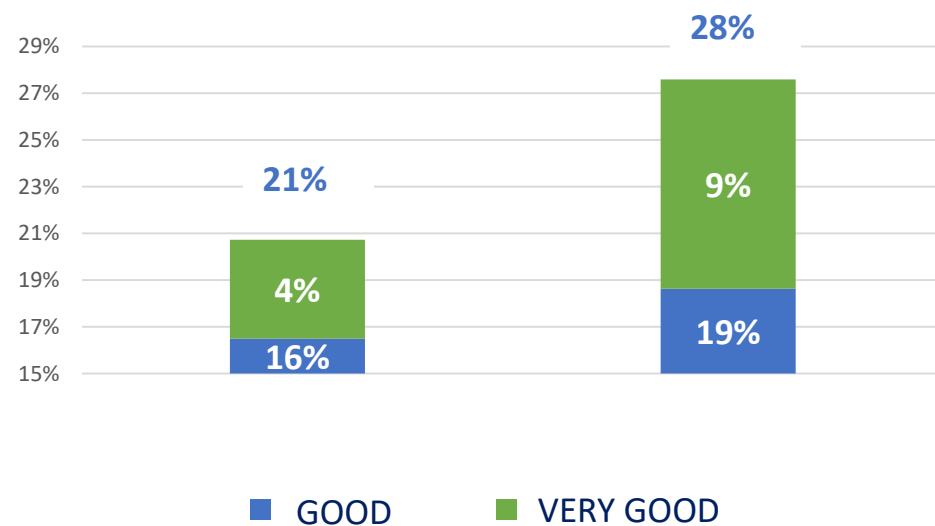


Monitoring and expertise

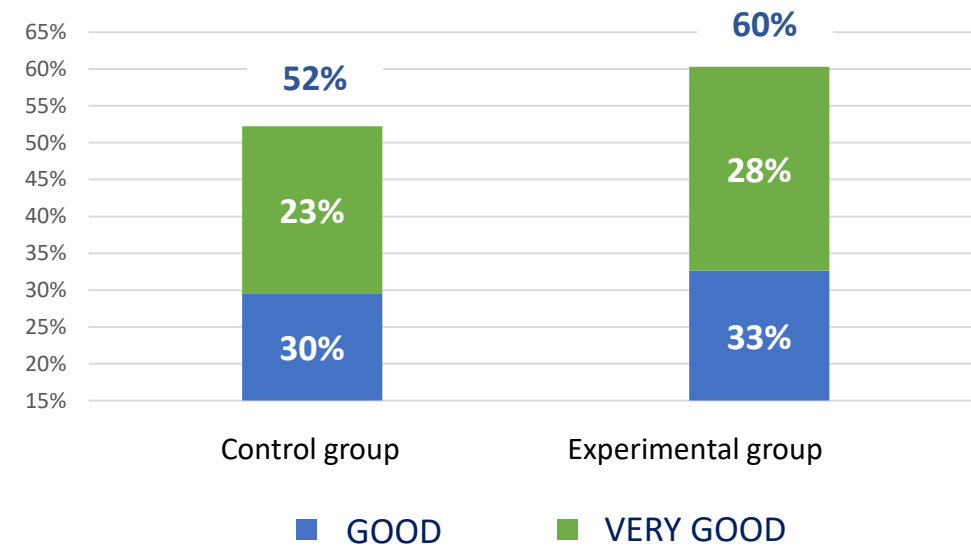
PARTICIPATORY BUDGETING EFFECTS

SATISFACTION WITH PB RESULTS

Assessment of the state of roads and streets in the locality – very good or good

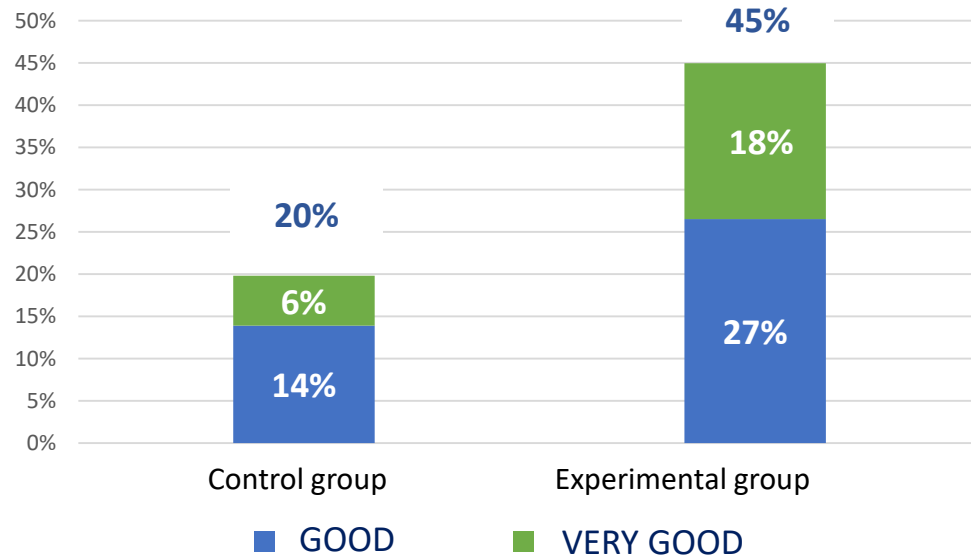


Assessment of water supply in the locality – very good or good

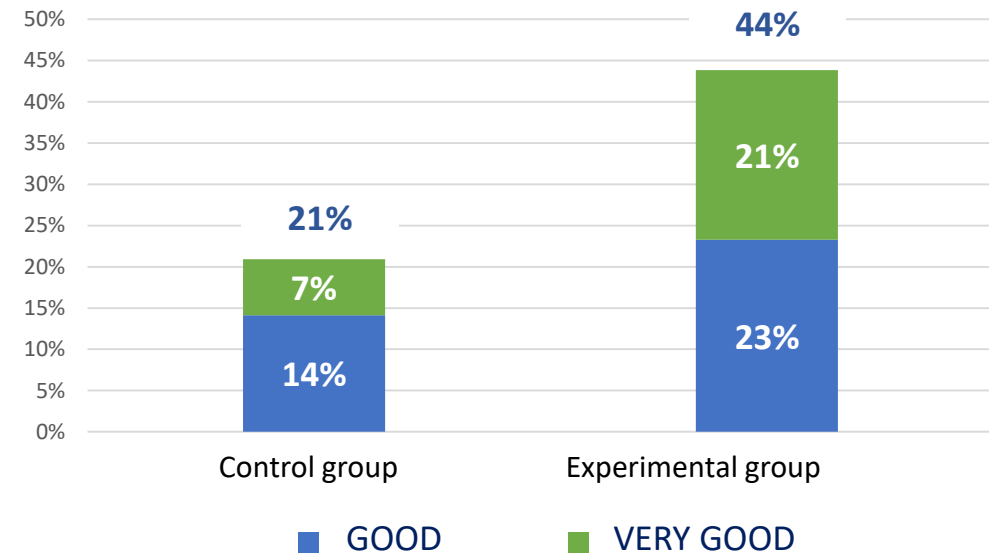


SATISFACTION WITH PB RESULTS

Assessment of the state of cultural institutions in the locality – very good or good

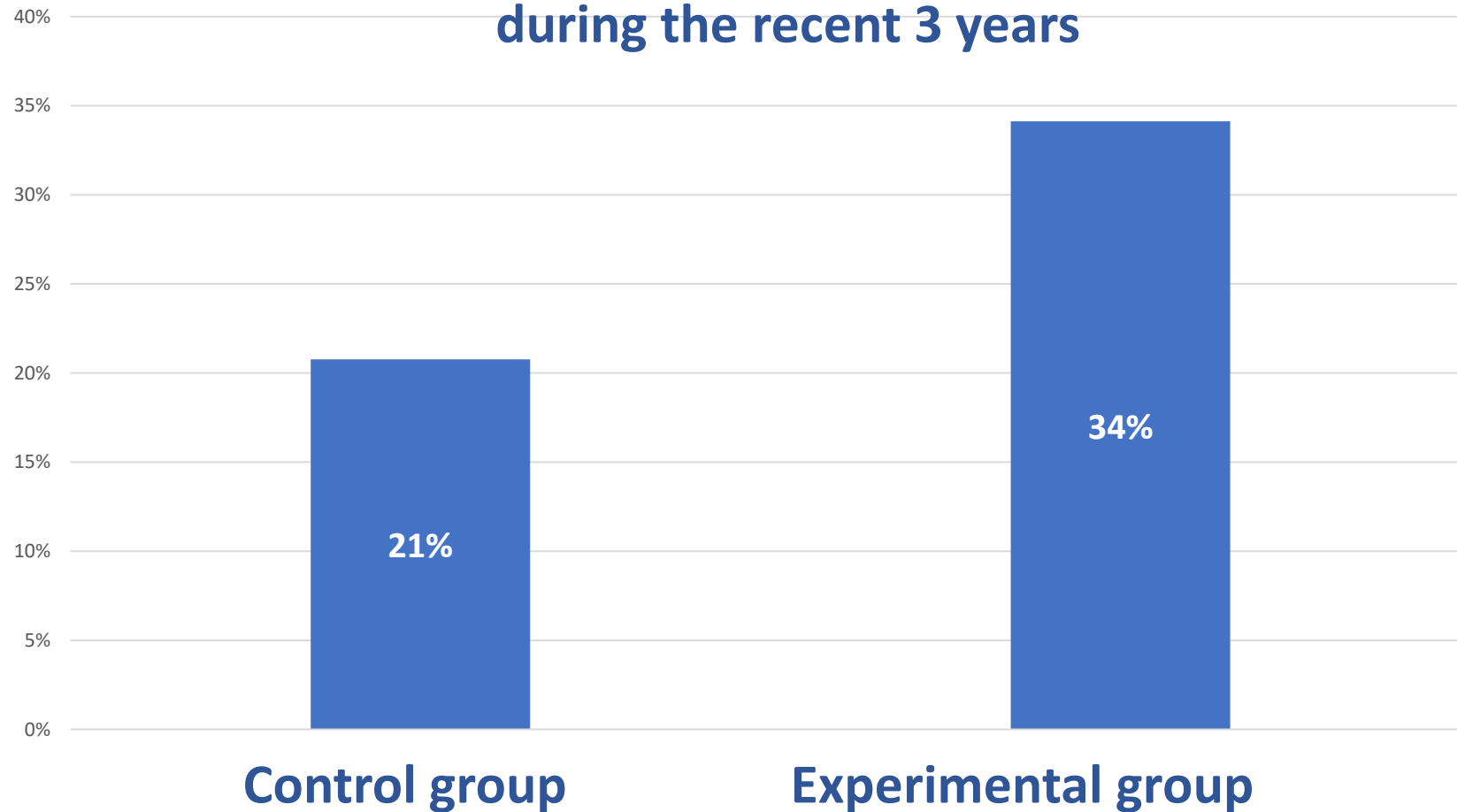


Assessment of the state of physical culture and sports facilities in the locality – very good or good



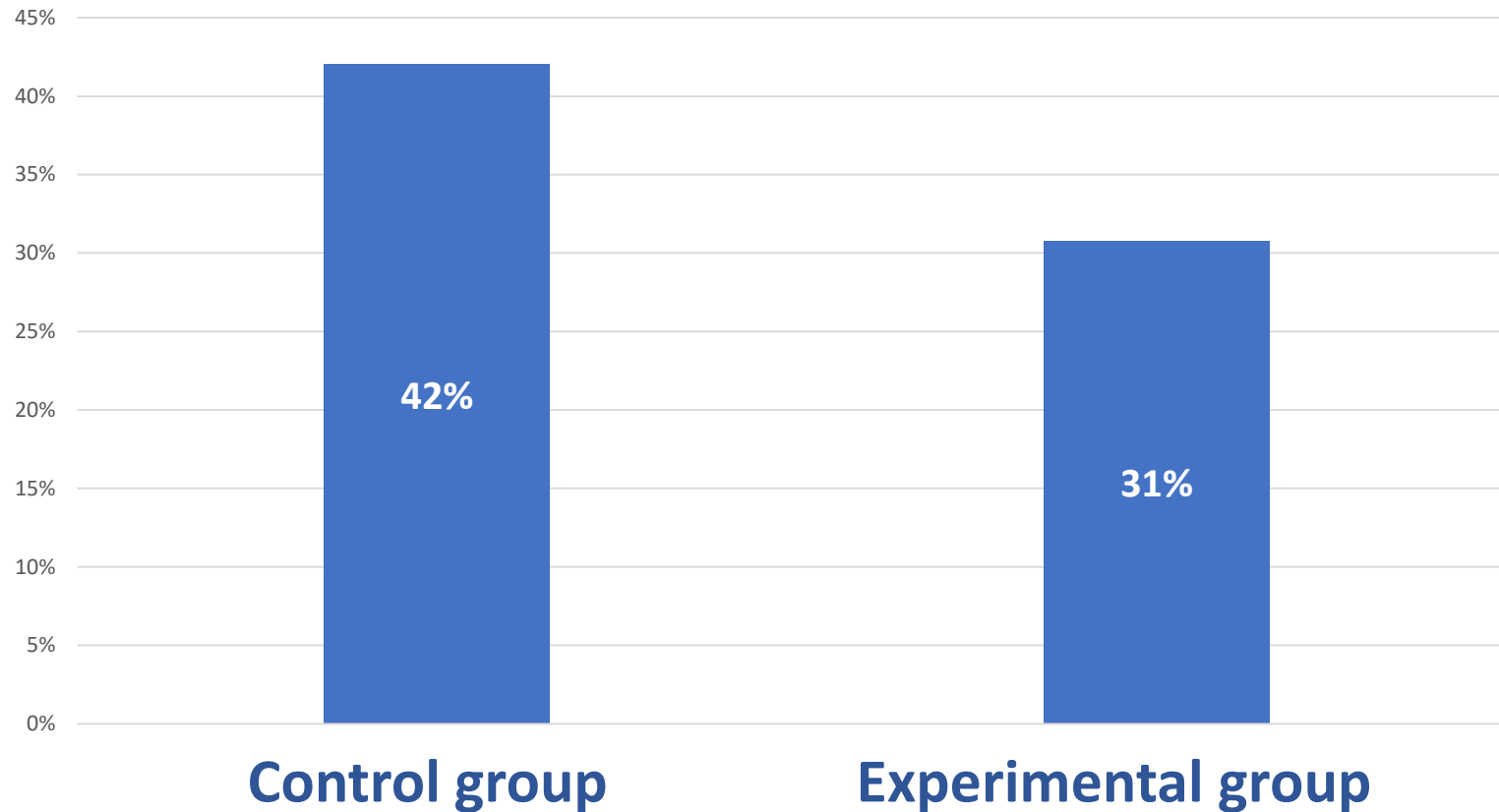
RUSSIA PB EFFECTS

The quality of life in the settlement **has improved** during the recent 3 years



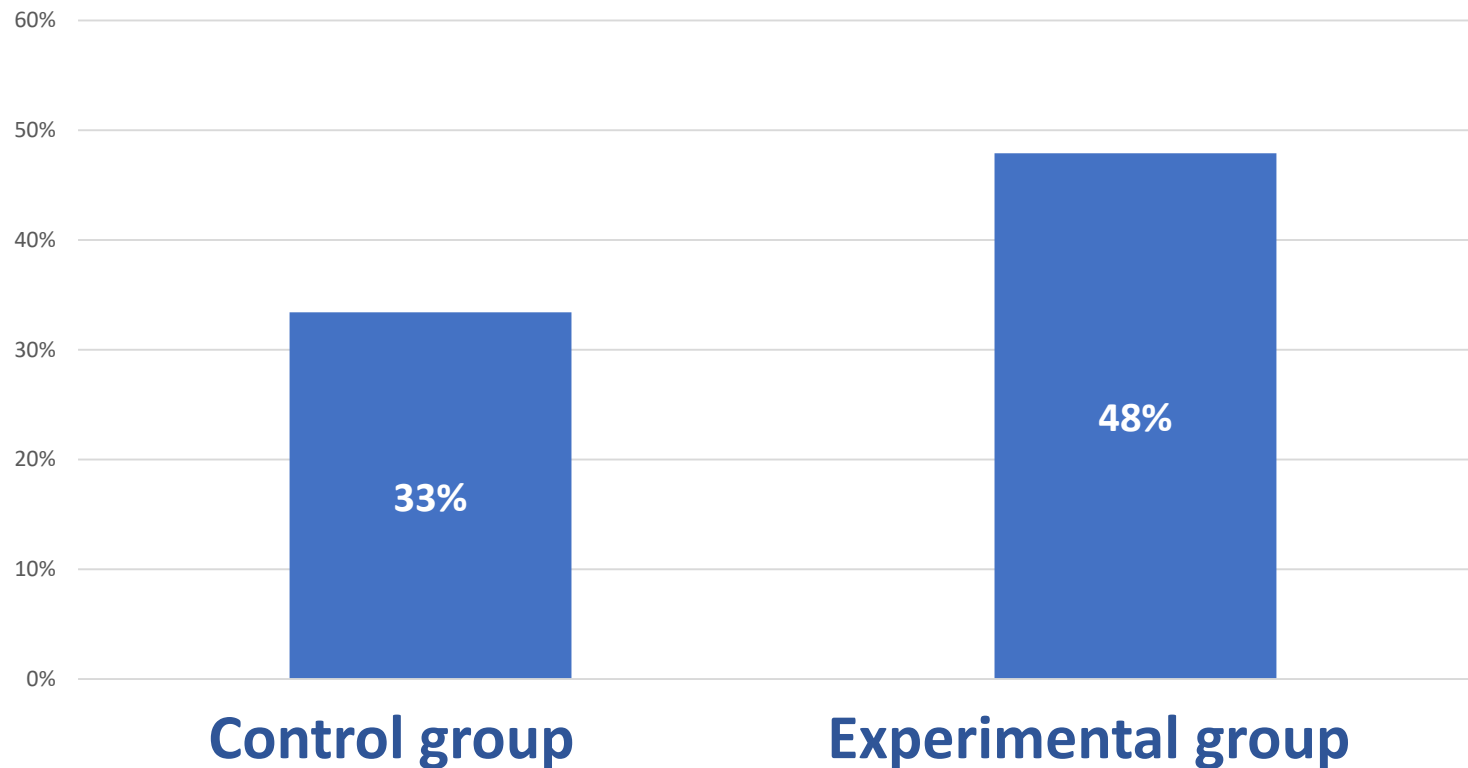
RUSSIA PB EFFECTS

I do not at all consider myself responsible for what is happening in my locality



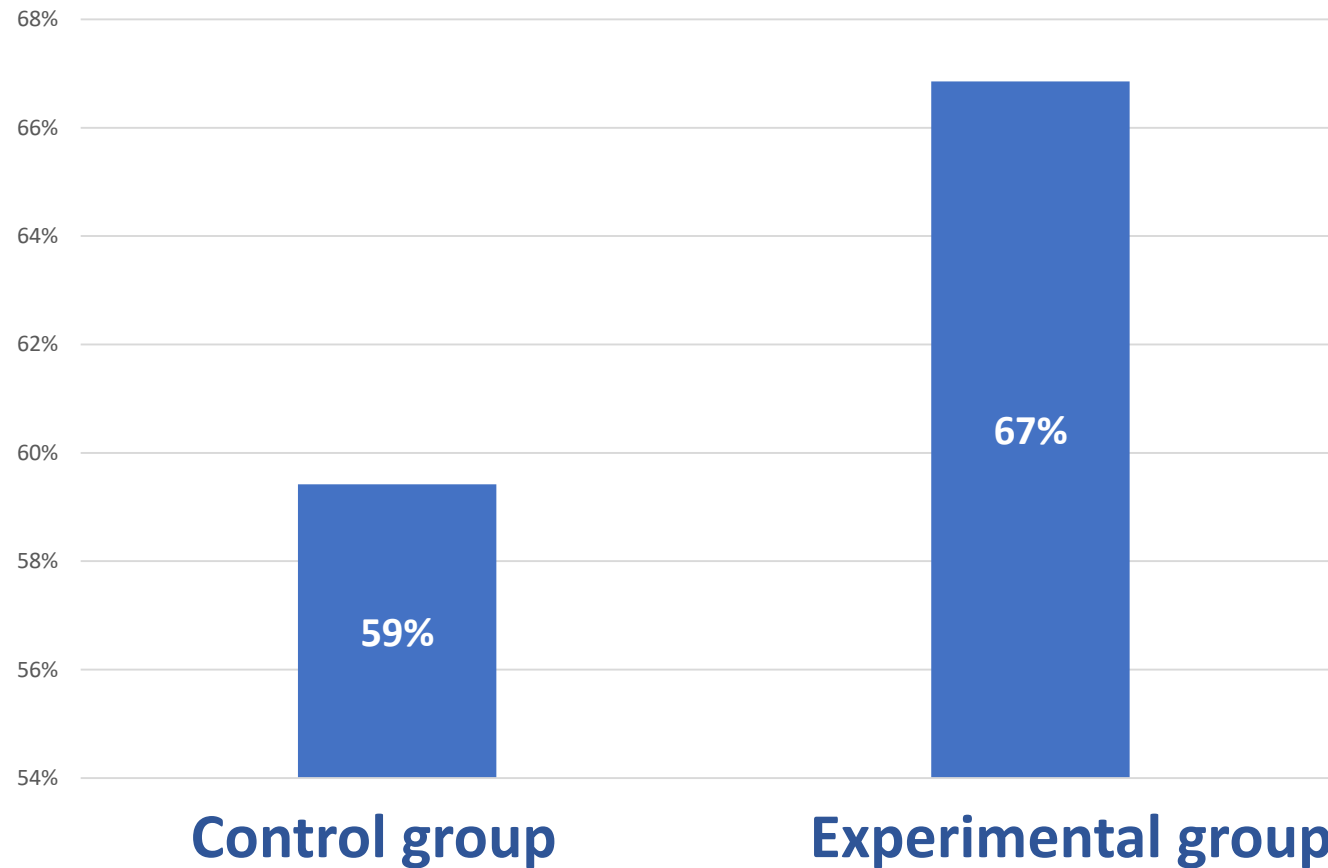
RUSSIA PB EFFECTS

Settlement administration **takes residents' views into consideration** in addressing local needs



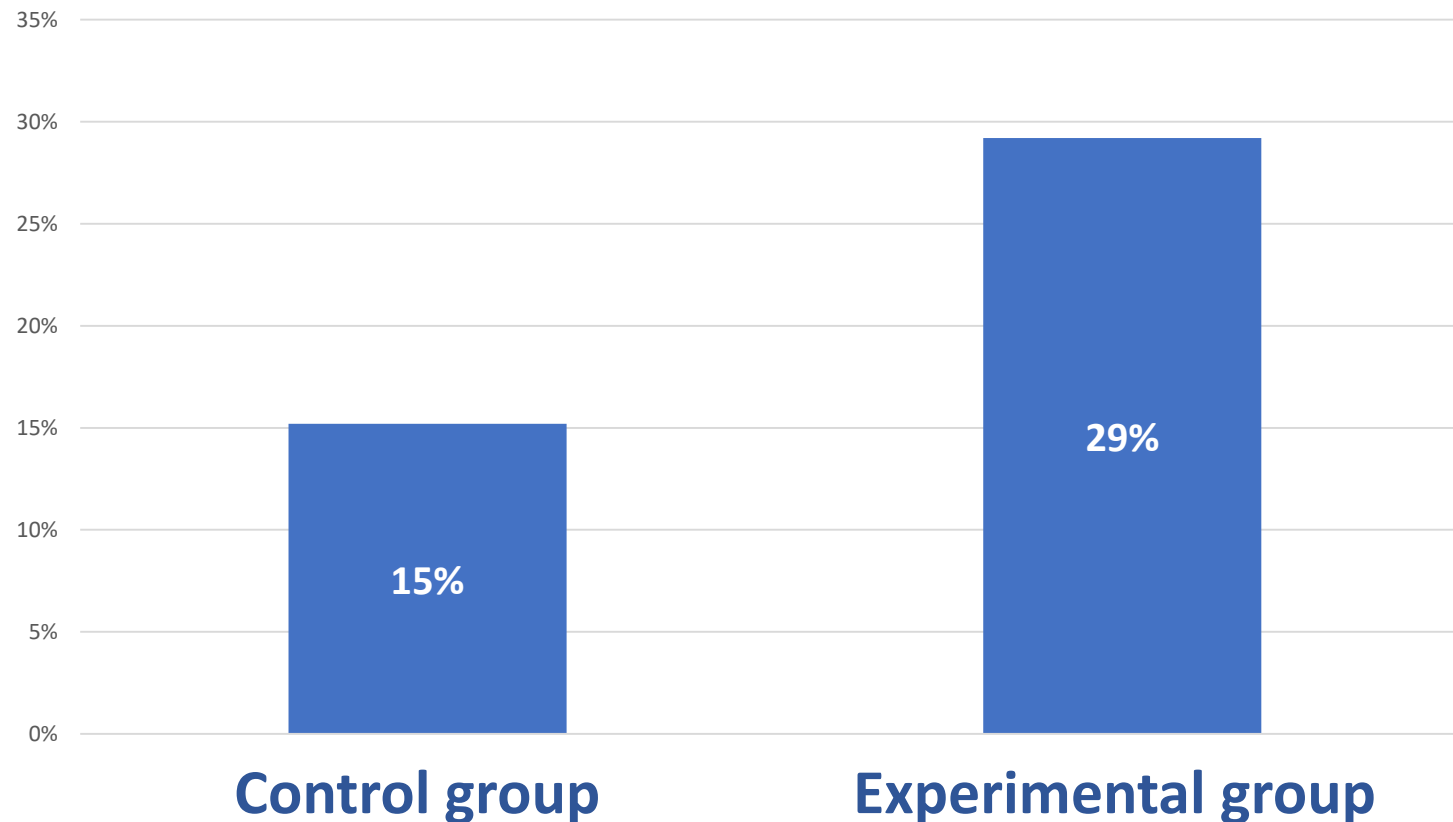
RUSSIA PB EFFECTS

Public confidence in the head of their settlement



RUSSIA PB EFFECTS

Readiness to invest personal money, time
and efforts to the public projects



THANK YOU!

