

The Federal Performance Management Office

and its role in the performance
budgeting/management process

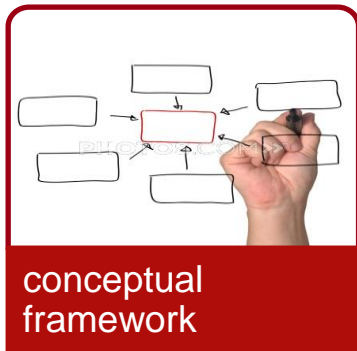
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Overview

- Federal Performance Management Office
- Performance framework
- Quality assurance
- Performance management
- Performance reporting
- Ideas for development of framework

The Federal Performance Management Office

Mission



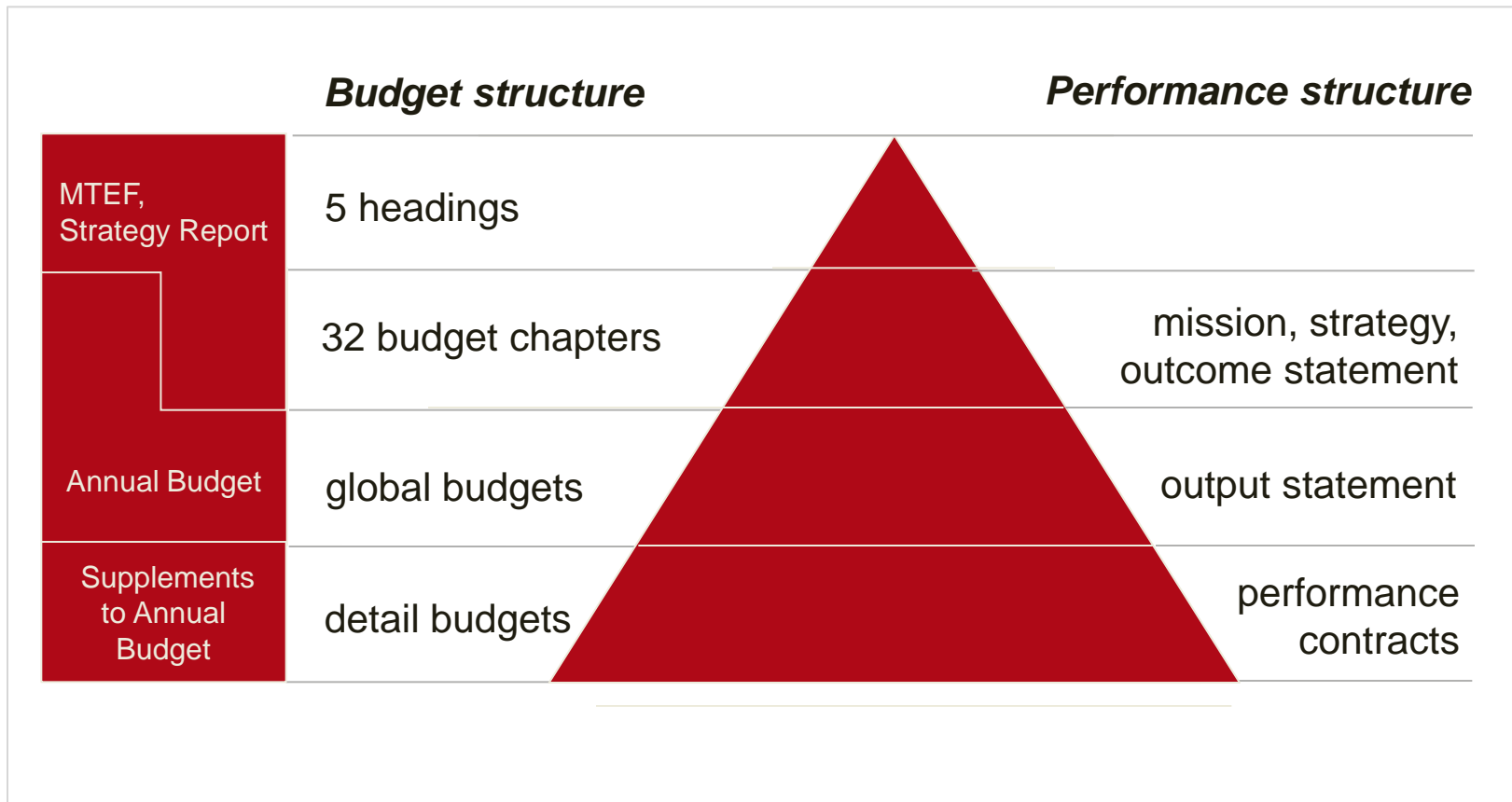
■ Legal basis

- Federal Organic Budget Act 2013
- Ordinance on Outcome Oriented Budget Information
- Ordinance on Performance Controlling
- Ordinance on the Principles of Impact Assessment of Regulations and Projects

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Budget and performance structure



Performance management cycle



Annual outcome statement – budget chapter „Interior”

Outcome 1:

Improving safety and security

Why this outcome?

Safety and security in public and private life is a human right and essential to well-being. International comparisons show that Austria is one of the safest countries in the world. This high level of safety must be maintained and upgraded further.

What is being done to achieve this outcome?

- Extending preventive work and awareness training
- Combating crime effectively and efficiently with new methods and technologies
- Special training programme on combating crime
- Improving police response times (i.e. time between an emergency call and arrival at the scene)
- Evidence-based human resource allocation
- Analysing road accident patterns and identifying traffic hot spots

What would success look like?

- **Crime rate:** desired outcome 2013: <x%; starting level 2011: y% [definition: total number of crime incidents per 100,000 inhabitants, source: Crime Statistics, Ministry of the Interior]
- **Percentage of crimes solved:** desired outcome 2013: >x%; starting level 2011: y% [definition: ratio of cases solved to total number of crimes, source: Crime Statistics, Ministry of the Interior]
- **Number of road accidents with injuries:** desired outcome 2013: <x; starting level 2011: y [definition: total number of persons killed in road accidents, source: Road Accidents Statistics, Statistik Austria]

Annual output statement – global budget „Security & Safety“

Contribution to Outcome No.	What is being done? Outputs:	Target 2013 (milestones/performance indicators)	Status 2011 (milestones/performance indicators)
1	Extending preventive work and awareness training	Awarness trainings: <ul style="list-style-type: none"> – Residential burglary prevention: x hours, y participants – Crime prevention strategies for elderly people: x hours, y participants 	Awarness trainings: <ul style="list-style-type: none"> – Residential burglary prevention: x hours, y participants – Crime prevention strategies for elderly people: x hours, y participants
1	Evidence-based human resource allocation	Need-based staff assignment in police offices: total number of crimes reported per police officer (in full time equivalents) between x and y	Total number of crimes reported per police officer (FTE) between x and y
1	Improving police response times (i.e. time between an emergency call and arrival at the scene)	In x% of cases arrival within y minutes	In x% of cases arrival within y minutes
...	...		

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Preparation of outcome/output statements

WHO	WHAT
Ministries	Draft outcome/output statement
Court of Audit	Recommendations
Federal Performance Management Office	Quality assurance of the draft outcome/output statements
Ministries	Response to the recommendations of the Court of Audit
Ministries	Adding changes to outcome/output statements (=comply) or explain
Ministry of Finance	Preparation of budget documents
Federal Government	Submitting draft annual budget to Parliament

Quality assurance

- Scope
 - outcome and output statements in the Annual Budget
- Focus
 - methodology
 - uniform quality of objectives and indicators
 - initiation of coordination meetings by interfaces
- Process
 - Federal Performance Management Office can recommend clarification and changes to ministry's outcome and output statement
 - Ministry must “comply or explain“

Quality criteria for performance information

Relevant	Focused on ministry 's priorities; providing meaningful information
Consistent	Linkage of outcomes and outputs, objectives and performance indicators
Comprehensible	Clearly formulated and easy to understand
Contextualised	Based on the Federal Government 's Programme and the respective ministry 's sphere of competence
Comparable	Consistent use of objectives and indicators
Verifiable	Using quantitative or qualitative performance indicators

Coordination of governmental objectives

- Examples of areas of coordination
 - protection from violence
 - reconciliation of work and family life
 - science and research
- weak coordination mechanism by law („comply or explain“)
 - Ministries are informed about overlapping or possible conflicting objectives
 - exchange of information on desired outcomes/planned actions among ministries involved
 - adjusting wordings, indicators and target values

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Institutions involved

- Federal Performance Management Office
 - focuses on the cross-ministerial performance budgeting/management process
 - standardisation and coordination

- Ministries
 - performance management offices/officers
 - define and support internal management process
 - close collaboration with other management functions (e.g. budget and personnel department)

Performance contracts

- Internal medium-term steering document for public bodies
- Integrating
 - performance information (outputs and indicators) with
 - budget and personnel resources
- Only binding between
 - the ministry and
 - its subordinate bodies
- The Supplement to the Annual Budget include a summary of performance contracts.

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Performance reporting

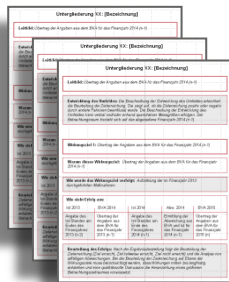
- Ministries' statements on achievement of objectives
 - focus: outcome and output statement in the Annual Budget
 - to be submitted to the Federal Performance Management Office by May 31 of the following year

- Annual Federal Performance Report
 - drawn up by the Federal Performance Management Office
 - based on ministries' statements
 - to be submitted to Parliament by October 31
 - discussed together with the draft Annual Budget for the next year

Annual Federal Performance Report

Ministries

- evaluation
- statement on achievement of objectives
- reporting



31 May



Performance Management Office

- standardisation
- quality assurance
- coordination
- reporting



31 October



Parliament & interested public

- discussion of results
- political accountability



Ministries' statements per budget chapter

General information:

Mission:

External factors:

Ministries' statements per budget chapter

Outcomes 1-5

Outcome 1:

Why this outcome?

What has been done to achieve this outcome?

Achievement of objective:

Performance indicators	Starting level 2012	Desired outcome 2013	Outcome achieved 2013	Deviation 2013	Desired outcome 2014

Verbal description:

Ministries' statements per global budget

Outputs 1-5

Output 1:

Achievement of objective:

Contribution to Outcome No.	Performance indicators	Status 2012	Target 2013	Output 2013	Deviation 2013	Target 2014

Verbal description:

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Ideas for development of framework

- Stronger link with budget information
- Strengthen benchmarking
- Development of governmental objectives
- More coordination activities based on interfaces between outcomes and outputs of different line ministries
- More focus on policy coherence
- Integration of local and regional level

Thank you for your attention

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